

Synthetic algebraic geometry *a case study in applied topos theory*

&

the phenomenon of nongeometric sequents

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Approaches to algebraic geometry

Usual approach to algebraic geometry: **layer schemes above ordinary set theory** using either

- locally ringed spaces

set of prime ideals of $\mathbb{Z}[X, Y, Z]/(X^n + Y^n - Z^n) +$

Zariski topology + structure sheaf

- or Grothendieck's functor-of-points account, where a scheme is a functor $\text{Ring} \rightarrow \text{Set}$.

$$A \longmapsto \{(x, y, z) \in A^3 \mid x^n + y^n - z^n = 0\}$$

Synthetic approach: model schemes **directly as sets** in a certain nonclassical set theory.

$$\{(x, y, z) : (\mathbb{A}^1)^3 \mid x^n + y^n - z^n = 0\}$$

Toposes as mathematical universes

A **topos** is a category which has finite limits, is cartesian closed and has a subobject classifier, for instance

- **Set**, the category of sets;
- **Sh(X)**, the category of set-valued sheaves over a space X ;
- **Eff**, the effective topos (roughly: a category of data types).

Any topos supports an **internal language**, which is sound with respect to **intuitionistic reasoning**.

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no $\varphi \vee \neg\varphi$, no $\neg\neg\varphi \Rightarrow \varphi$, no axiom of choice

Curious universes

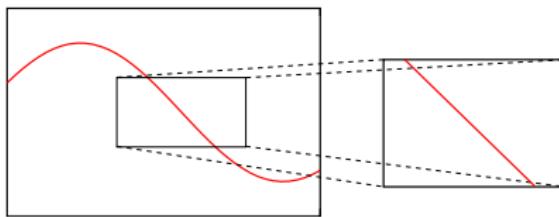
- $\text{Eff} \models$ “There are infinitely many prime numbers.” ✓
External meaning: There is a **Turing machine** producing arbitrarily many prime numbers.
- $\text{Eff} \models$ “Any Turing machine halts or doesn’t halt.” ✗
External meaning: There is a **halting oracle** which determines whether any given machine halts or doesn’t halt.
- $\text{Sh}(X) \models$ “Any cont. function with opposite signs has a zero.” ✗
External meaning: Zeros can locally be picked **continuously** in continuous families of continuous functions.

(see [video](#) for counterexample)

Synthetic differential geometry

The axiom of microaffinity

Let $\Delta = \{\varepsilon \in \mathbb{R} \mid \varepsilon^2 = 0\}$. For any function $f : \Delta \rightarrow \mathbb{R}$, there are unique numbers $a, b \in \mathbb{R}$ such that $f(\varepsilon) = a + b\varepsilon$ for all $\varepsilon \in \Delta$.



- The **derivative** of f as above at zero is b .
- Manifolds are **just sets**.
- A **tangent vector** to M is a map $\Delta \rightarrow M$.

Toposes provide models for this theory.

The big Zariski topos

Let S be a fixed base scheme.

Definition

The **big Zariski topos** $\text{Zar}(S)$ is the category $\text{Sh}(\text{Sch}/S)$. It consists of functors $(\text{Sch}/S)^{\text{op}} \rightarrow \text{Set}$ satisfying the gluing condition that

$$F(T) \rightarrow \prod_i F(U_i) \rightrightarrows \prod_{j,k} F(U_j \cap U_k)$$

is a limit diagram for any scheme $T = \bigcup_i U_i$ over S .

- For an S -scheme X , its functor of points $\underline{X} = \text{Hom}_S(\cdot, X)$ is an object of $\text{Zar}(S)$. It feels like **the set of points** of X .
- In particular, there is the ring object $\underline{\mathbb{A}}^1$ with $\underline{\mathbb{A}}^1(T) = \mathcal{O}_T(T)$.
- $\text{Zar}(S)$ classifies local \mathcal{O}_S -algebras which are local over \mathcal{O}_S .

Synthetic constructions

$$\mathbb{A}^n = (\underline{\mathbb{A}}^1)^n = \underline{\mathbb{A}}^1 \times \cdots \times \underline{\mathbb{A}}^1$$

$$\begin{aligned} \mathbb{P}^n &= \{(x_0, \dots, x_n) : (\underline{\mathbb{A}}^1)^{n+1} \mid x_0 \neq 0 \vee \cdots \vee x_n \neq 0\} / (\underline{\mathbb{A}}^1)^\times \\ &\cong \text{set of one-dimensional subspaces of } (\underline{\mathbb{A}}^1)^{n+1} \\ &\quad (\text{with } \mathcal{O}(-1) = (\ell)_{\ell : \mathbb{P}^n}, \mathcal{O}(1) = (\ell^\vee)_{\ell : \mathbb{P}^n}) \end{aligned}$$

$$\mathbf{Spec}(R) = \mathrm{Hom}_{\mathrm{Alg}(\underline{\mathbb{A}}^1)}(R, \underline{\mathbb{A}}^1) = \text{set of } \underline{\mathbb{A}}^1\text{-valued points of } R$$

$$\mathbf{TX} = X^\Delta, \text{ where } \Delta = \{\varepsilon : \underline{\mathbb{A}}^1 \mid \varepsilon^2 = 0\}$$

A subset $U \subseteq X$ is **qc-open** if and only if for any $x : X$ there exist $f_1, \dots, f_n : \underline{\mathbb{A}}^1$ such that $x \in U \iff \exists i. f_i \neq 0$.

A **synthetic affine scheme** is a set which is in bijection with $\mathrm{Spec}(R)$ for some synthetically quasicoherent $\underline{\mathbb{A}}^1$ -algebra R .

A **synthetic scheme** is a set which can be covered by finitely many qc-open synthetic affine schemes U_i such that the intersections $U_i \cap U_j$ can be covered by finitely many qc-open synthetic affine schemes.

Properties of the affine line

- $\underline{\mathbb{A}}^1$ is a local ring:

$$1 \neq 0 \quad x + y \text{ inv.} \implies x \text{ inv.} \vee y \text{ inv.}$$

- $\underline{\mathbb{A}}^1$ is a field:

$$\neg(x = 0) \iff x \text{ invertible} \quad [\text{Kock 1976}]$$

$$\neg(x \text{ invertible}) \iff x \text{ nilpotent}$$

- $\underline{\mathbb{A}}^1$ satisfies the axiom of microaffinity: Any map $f : \Delta \rightarrow \underline{\mathbb{A}}^1$ is of the form $f(\varepsilon) = a + b\varepsilon$ for unique values $a, b : \underline{\mathbb{A}}^1$, where $\Delta = \{\varepsilon : \underline{\mathbb{A}}^1 \mid \varepsilon^2 = 0\}$.
- Any function $\underline{\mathbb{A}}^1 \rightarrow \underline{\mathbb{A}}^1$ is a polynomial.
- $\underline{\mathbb{A}}^1$ is anonymously algebraically closed: Any monic polynomial does *not* have a zero.
- $\underline{\mathbb{A}}^1$ is of unbounded Krull dimension.

Synthetic quasicoherence

Recall $\mathrm{Spec}(R) = \mathrm{Hom}_{\mathrm{Alg}(\underline{\mathbb{A}}^1)}(R, \underline{\mathbb{A}}^1)$ and consider the statement

“the canonical map $\begin{array}{ccc} R & \longrightarrow & (\underline{\mathbb{A}}^1)^{\mathrm{Spec}(R)} \\ f & \longmapsto & (\alpha \mapsto \alpha(f)) \end{array}$ is bijective”.

- True for $R = \underline{\mathbb{A}}^1[X]/(X^2)$ (microaffinity).
- True for $R = \underline{\mathbb{A}}^1[X]$ (every function is a polynomial).
- True for **any** finitely presented $\underline{\mathbb{A}}^1$ -algebra R .

Any known property of $\underline{\mathbb{A}}^1$ follows from this
synthetic quasicoherence.

Example. Let $x : \underline{\mathbb{A}}^1$ such that $x \neq 0$. Set $R = \underline{\mathbb{A}}^1/(x)$.

Then $\mathrm{Spec}(R) = \emptyset$. Thus $(\underline{\mathbb{A}}^1)^{\mathrm{Spec}(R)}$ is a singleton. Hence $R = 0$. Therefore x is invertible.

Nongeometric sequents

Let \mathbb{T} be a **geometric theory** (rings, intervals, ...).

For a **geometric sequent** $\forall \vec{x}. (\varphi \Rightarrow \psi)$, the following are equivalent:

- 1 It is **provable** by \mathbb{T} .
- 2 It holds **for all models** of \mathbb{T} in all toposes.
- 3 It holds for the **generic model** of \mathbb{T} in its **classifying topos**.

- Additional **nongeometric sequents** may hold in a classifying topos, for instance “ \mathbb{A}^1 is synthetically quasicoherent” in $\text{Zar}(S)$.
- These are **\mathbb{T} -redundant**, but the converse is false.
[Bezem–Buchholtz–Coquand 2017; answering a question by Wraith possibly raised at PSSL 1.]
- Are they precisely the consequences of synthetic quasicoherence?
- Applications: synthetic algebraic geometry, generic freeness, ...

Further research

- Push synthetic algebraic geometry further: true cohomology, intersection theory, derived categories, ...
- What do the various subtoposes of $\text{Zar}(S)$ classify (étale, fppf, ph, $\neg\neg$, ...)? What about the crystalline topos?
- Understand quasicoherence.
- Find further applications of nongeometric sequents, for instance in constructive algebra.



Expository notes:
<https://www.ingo-blechschmidt.eu/>